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Application Number 10809163 Filing Date 2004-03-25 **INFORMATION DISCLOSURE** First Named Inventor Joshua T. Goodman STATEMENT BY APPLICANT Art Unit 2131 (Not for submission under 37 CFR 1.99) Unknown **Examiner Name** Attorney Docket Number MS305314.01/MSFTP596US

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/F.T./	1	7117358		2006-10-03	Bandini, et al.			
/F.T./	2	7051077		2006-05-23	Lin			
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		10809163		
Filing Date		2004-03-25		
First Named Inventor	Joshu	a T. Goodman		
Art Unit		2131		
Examiner Name Unknown		own		
Attorney Docket Numb	er	MS305314.01/MSFTP596US		

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/F.T./	European Search Report dated July 5, 2006, mailed July 24, 2006 for European Application No. EP 06 01 2631, 3 pages.										
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		10809163				
Filing Date		2004-03-25				
First Named Inventor	Joshu	ua T. Goodman				
Art Unit		2131				
Examiner Name Unknown		own				
Attorney Docket Numb	er	MS305314.01/MSFTP596US				

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Application Number 10/809,163

Filing Date March 25, 2004

First Named Inventor Joshua T. Goodman

Art Unit 2131

Examiner Name Unknown

Attorney Docket Number MS305314.1/MSFTP596US

Exeminer Cite tnitials* No.1		Document Number Number-Kind Code ^{2 (4 known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Occument	Pages, Columns, Lines, Whare Relevent Pessages or Relavant Figures Appeer
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INFO	DRMATIO	N DIS	CLOSURE	Filing Date	March 25, 2004			
STA	TEMENT	BY A	PPLICANT	First Named Inventor	Joshua T. Goodman			
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		10000 03 11		Examiner Name	Unknown			
Sheet	2	of	2	Attorney Docket Number	MS305314.1/MSFTP596US			

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Unknown Attorney Docket Number MS305314.1/MSFTP596US

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/F.T		EP 413 537	02-20-1991	Scannell et al.		
/E T		EP 720 333	07-03-1996	Canaic et al.		
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	PLEMENTA			Application Number	10/809,163
INFO	DRMATION	N DIS	CLOSURE	Filing Date	March 25, 2004
STA	STATEMENT BY APPLICANT			First Named Inventor	Joshua T. Goodman
	(Usa as many sheets as necessary)			Art Unit	2131
				Examiner Name	Unknown
Sheet	3	of	7	Attomey Docket Number	MS305314.1/MSFTP596US

•	-	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
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First Named Inventor Joshua T. Goodman

Art Unit 2131

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		EMENT			Application Number	10/809,163
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Initials*	No. ^t	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
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į	Signature	/Fatoumata Traore/	Considered	03/20/2007

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First Named Inventor	Joshua T. Goodman, et al.				
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Application Number 10/809,163

Filling Date March 25, 2004

First Named Inventor Joshua T. Goodman, et al.

Art Unit 2131

Examiner Name Unknown

Sheet 2 of 2 Attorney Docket Number MS305314.01/MSFTP596US

		NON PATENT LITERATURE DOCUMENTS	
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	PLEMENTA	L		Application Number	10/809,163	
INFO	RMATION	I DIS	CLOSURE	Filing Date	March 25, 2004	
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	Joshua T. Goodman, et al.	
	##			Art Unit	2131	
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Sheet	2	of	2	Attorney Docket Number	MS305314.01/MSFTP596US	

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Examiner Initials*	Cite No. ¹	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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PTO/SB/08s (08-05) Approved for use through 07/31/2006, OMB 0851-0031 U.S. Patent and Trademerk Office; U.S. DEPARTMENT OF COMMERCE as collection of information unless it contains a valid OMB control number. Under the Paperwork Reduction Act of 1995, no Complete if Known Substitute for form 1448A/PTO SUPPLEMENTAL Application Number 10/809,163 INFORMATION DISCLOSURE Filing Date 03-25-2004 First Named Inventor STATEMENT BY APPLICANT Joshua Goodman Art Unit 2131 Examiner Name (Use as many sheets as necessary) Altomey Docket Number MS305314.01/MSFTP596US Sheet

Examber initals*	Cite No.1	Document Number Number-Kind Code ^{3 (7 Annex)}	U. S. PATENT Publication Date MM-DD-YYYY	Name of Petenties or Applicant of Citod Document	Pages, Columns, Linca, Where Relevant Passages or Relevant Figures Appear
/E T.	<u></u>	US- 6,074,942	Stockwell, et al.	05-06-2000	
-/F.T .,		US- 6,199,102	Собь	03-05-2001	
/F.T./		US- 6,477,551	Johnson, et al.	11-05-2002	· · · · · · · · · · · · · · · · · · ·
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PAGE 319 * RCVD AT 1/20/2006 12:18:46 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-6/27 * DNIS:2738300 * CSID:216 696 8731 * DURATION (mm-ss):02-26

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STATEMENT BY APPLICANT				TNA :	First Named Inventor	Joshua Goodman		
					Art Unit	2131		
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	Sheet	1	of	2	Attorney Docket Number	MS305314.01/MSFTP596US		

NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
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Office the Paperwork Reduction Act of 1895, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete If Known Substitute for form 1449A/PTO SUPPLEMENTAL Application Number 10/809,163 INFORMATION DISCLOSURE Filing Date 03-25-2004 First Named Inventor STATEMENT BY APPLICANT Joshua Goodman Art Unh Examiner Name Attorney Docket Number MS305314.01/MSFTP596US

Examiner	Cite No.	Document Number	Publication Data	DOCUMENTS Name of Patentee or	Pages, Columns, Lines, Where
iniUats"	No.	Number-Kind Code ^{1 (7 known)}	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
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		NON PATENT LITERATURE DOCUMENTS	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) Application Number 10809163 Filing Date 2004-03-25 First Named Inventor Joshua Goodman Art Unit 2131 Examiner Name Attorney Docket Number MS305314.01/MSFTP596US

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	10809163
Filing Date	2004-03-25
First Named Inventor	Joshua Goodman
Art Unit	2131
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	Application Number		10809163	
	Filing Date		2004-03-25	
INFORMATION DISCLOSURE	First Named Inventor	First Named Inventor Joshua T. Goodman		
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/F.T./	2	6314421		2001-11-06	Sharnoff, et al.	
/F.T./	3	6421709		2002-07-16	McCormick, et al.	
/F.T./	4	6321267		2001-11-20	Albert L. Donaldson	
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/F.T./	8	6643686		2003-11-04	Robert J. Hall	

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Application Number	10809163	10809163			
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First Named Inventor	Joshua T. Goodman				
Art Unit	2131				
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U. S. PATENT DOCUMENTS Pages, Columns, Lines, Where Name of Patentee or Applicant of Cited Document Publication Date Document Number Examine Relevant Passages of Relevant Figures Appear MM-DD-YYYY tnilials" Number-Kind Code^{2 (Farmer)} US- 6.453,327 Nicken 09-17-2002 Æ US- 6,757,830 Tarbotton, ct al. 06-29-2004 F.F US- 2002/0184315 12-02-2002 Earnest US- 10/208,150 07-29-2002 Andaker /E.I. US- 09/893,941 06-28-2001 /F_T 10-23-2002 US- 10/278,591 US- 10/180,565 06-26-2002 Goodman /F.T./ Mallet U9- 09/497,992 02-04-2000 US- 10/291,260 11-08-2002 Burrows /FI Bandini, et al. US- 2002/199095 12-26-2002 /F.T. ŲĠ-US. **US**us US-ÚS-US-THE.

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Application Number 10809163

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First Named Inventor Joshua Goodman

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO:** Commissioner for **Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a
 court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement
 negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
 - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

(Not for submission under 37 CFR 1.99)

Application Number		10809163		
Filing Date		2004-03-25		
First Named Inventor	Joshu	a T. Goodman		
Art Unit		2131		
Examiner Name		·		
Attorney Docket Number		MS305314.01/MSFTP596US		

	CERTIFICATION STATEMENT					
Plea	ase see 37 CFR 1	.97 and 1.98 to make the appropriate selecti	on(s):			
×	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).					
OR	1					
	foreign patent of after making rea any individual de	information contained in the information diffice in a counterpart foreign application, an sonable inquiry, no item of information contains and in 37 CFR 1.56(c) more than the 37 CFR 1.97(e)(2).	id, to the knowledge of the ained in the information dis	e person signing the certification sclosure statement was known to		
	See attached ce	rtification statement.		• •		
	Fee set forth in 3	37 CFR 1.17 (p) has been submitted herewith	n. ,	•		
X	None	•				
	ignature of the ap n of the signature.	SIGNA plicant or representative is required in accord		8. Please see CFR 1.4(d) for the		
Sigi	nature	/Himanshu S. Amin/	Date (YYYY-MM-DD)	2007-03-19		
Nar	me/Print	Himanshu S. Amin	Registration Number	40894		

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Application Number		10809163		
Filing Date		2004-03-25		
First Named Inventor Joshu		ıa T. Goodman		
Art Unit		2131		
Examiner Name Unknown		own		
Attorney Docket Number		MS305314.01/MSFTP596US		

		CERTIFIC	ATION STATEMENT	
Plea	se see 37 CFR 1	.97 and 1.98 to make the appropriate	selection(s):	
	•	·		·
	from a foreign p	of information contained in the informatent office in a counterpart foreign osure statement. See 37 CFR 1.97(e)(application not more than three	-
OR				
	foreign patent of after making rea any individual de	information contained in the informatice in a counterpart foreign application sonable inquiry, no item of information esignated in 37 CFR 1.56(c) more the TFR 1.97(e)(2).	on, and, to the knowledge of the contained in the information dis	e person signing the certification sclosure statement was known to
\Box	See attached cer	rtification statement.		•
	Fee set forth in 3	7 CFR 1.17 (p) has been submitted h	erewith.	·
×	None	,	·	
		•	IGNATURE	
	ignature of the ap 1 of the signature.	plicant or representative is required in	accordance with CFR 1.33, 10.1	8. Please see CFR 1.4(d) for the
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Sigr	nature	/Himanshu S. Amin/	Date (YYYY-MM-DD)	2007-05-10
Nan	ne/Print	Himanshu S. Amin	Registration Number	40894
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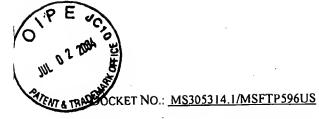
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent app	plication of the second
Applicant: Serial No.: Filed: For: Art Unit: Examiner:	Joshua T. Goodman, et al. 10/809,163 March 25, 2004 TRAINING FILTERS FOR IP ADDRESS AND URL LEARNING 2131 Unknown
	Information Disclosure Statement
Commissioner f Alexandria, Virg	or Patents ginia 22313-1450
Sir:	
patents, publicate except for: (a) pupon which this	to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the tions and other information listed on the attached PTO-1449. A copy of each listed document is enclosed pending applications or (b) those previously cited or submitted to the Office in the following application(s) application relies for an earlier filing date under 35 U.S.C. 120; or (c) U.S. Patent(s) and published since the subject application was filed after June 30, 2003.
Serial No.: Filing Date:	
Applicant(s) he	document, publication or other information for which a date is not given on the attached PTO-1449, lieve(s) the same may qualify as "prior" art to this application and should be treated accordingly, although serve(s) the right to contest the prior art status of any document, publication or information, should issue arise.
2. Regardi Statement as in following docu	ng each listed document that is not in the English language, an English-language translation accompanies this dicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the ment(s):
(a)(Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
(b)	Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".
3. Pursuan	t to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):
(a)	Within 3 months of the filing date or date of entry into the National Stage.
(b) <u>X</u>	Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 50-1063 for consideration of this Statement.

	(c)	After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.
	(1)	_ The required certification is given below, or
	(2)	Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement, or
	(3)	Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 50-1063.
٠	(d)Af	ter the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.
	(1)	Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(i)(1), or
	(2)	Charge the fee set forth in 37 C.F.R. 1.17(i)(1) to Deposit Account No. 50-1063.
4.	Certificati	on (if applicable)
	(a)	The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.
	(b)Th	e undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.
5.	The Comr No. 50-10	nissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account 63.
		Respectfully Submitted,
		By Orbon L. Voulle Jeffrey R. Sadlowski Reg. No. 47,914
1900 E Clevel	loor, Natio East 9 th Stre and, Ohio 4 596-8730	
deposi	ted on the b	CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8 Ty that this correspondence (along with any paper referenced as being attached or enclosed) is being below date with the United States Postal Service with sufficient postage as first class mail in an envelope emissioner for Patents, Alexandria, Virginia 22313-1450.
Date:	6-6	8-2004 Japon & Xender

PTO/SB/08A (04-03)
Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
r the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid QMB control number.

Substitute for form 1449/PTO

Complete if Known

Application Number 10/809,163

Filling Date March 25, 2004

First Named Inventor Joshua T. Goodman

Art Unit 2131

Examiner Name Unknown

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2 Attorney Docket Number MS305314.1/MSFTP596US

			U. S. PATEN	DOCUMENTS	
Exeminer Initials*	Cite No.1	Document Number . Number-Kind Code ^{2 (8 known)}	Publication Dete MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pagas, Columns, Lines, Whare Relevent Passagas or Relavant Figurea Appear
		^{US-} 6,732,149	05-04-2004	Kephart	
		^{US-} 6,728,690	04-27-2004	Meek et al.	
		^{US-} 6,691,156	02-10-2004	Drummond et al.	
		^{US-} 6,684,201	01-27-2004	Brill et al.	
		^{US-} 6,592,627	07-15-2003	Agrawal et al.	
		^{US-} 6,484,197	11-19-2002	Donohue	
		^{US-} 6,424,997	11-23-2002	Buskirk Jr. et al.	
		^{US-} 6,266,692	07-24-2001	Greenstein	
		^{US-} 6,192,360	02-20-2001	Dumais et al.	
		^{US-} 6,161,130	12-12-2000	Horvitz et al.	
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Exeminer initials*	Cita No.'	Foreign Petent Document	Publication Oate	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ "Number ⁴ "Kind Code ⁵ (If known)	MM-DD-YYYY		Or Relevant Figures Appear	T
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		×				Г

Exeminer	/Estoumata Traoro/	Dete	02/29/2009
Signatura	/Fatoumata Traore/	Considered	03/20/2000

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinda Codes of USPTO Patent Occuments at www.uspto.gov or MPEP 801.04. ³ Enter Office that issued the document, by the two-latter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the eppropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁵ Applicant is to place a check mark here if English language.

Transletion is attached.

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If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/\$8/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Tradamark Office; U.S. DEPARTMENT OF COMMERCE
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	ta for form 1449/PTC			Complete if Known		
				Application Number	10/809,163	
			CLOSURE	Filing Date	March 25, 2004	
STA	TEMENT	BY A	PPLICANT	First Named Inventor	Joshua T. Goodman	
	(Use as meny s	heats as no	acassand	Art Unit	2131	
	(000 20 11/21/) 01			Examiner Name	Unknown	
Sheet	2	of	2	Attorney Docket Number	MS305314.1/MSFTP596US	

Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
·		KEVIN R. GEE, Using Latent Semantic Indexing to Filter Spam, SAC 2003, 2003, pp. 460-464, Melbourne, Florida, USA.	
.		FABRIZIO SEBASTIANI. Machine Learning in Automated Text Categorization. ACM Computing Surveys, Vol. 34 Issue 1, pp. 1-47, 2002.	
		i. ANDROUTSOPOULOS, et al., Learning to Filter Spam E-mail: A Comparison of a Naive Bayesian and a Memory-based Approach, PKDD-2000, September 2000, 13 pages, Lyon, France.	
-		THORSTEN JOACHIMS. Transductive Inference for Text Classification using Support Vector Machines. Proceedings of the 16th International Conference on Machine Learning, 1999. 10 pages.	

Examiner	/Fatoumata Traore/	Date	03/28/2008
Signature	77 000 077000	Considered	03/28/2000

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant,

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

considered, include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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JUCKEN NO.	303314.1/MSr 1F39003
	IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
n re patent ap	oplication of
Applicant: Serial No.: Filed: For: Art Unit: Examiner:	Joshua T. Goodman, et al. 10/809,163 March 25, 2004 TRAINING FILTERS FOR IP ADDRESS & URL LEARNING 2131 Unknown
•	SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
Commissione P.O. Box 1456 Alexandria, V	
Sir:	
he patents, pu inclosed exce application(s)	nt to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to ablications and other information listed on the attached PTO-1449. A copy of each listed document is pt for: (a) pending applications or (b) those previously cited or submitted to the Office in the following upon which this application relies for an earlier filing date under 35 U.S.C. 120:
Serial No.: Filing Date	e:
Applicant(s) t	y document, publication or other information for which a date is not given on the attached PTO-1449, believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, licant(s) reserve(s) the right to contest the prior art status of any document, publication or information, trise.
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(b) <u>X</u>	Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in

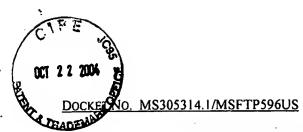
(c)	the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.
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	Respectfully Submitted, AMIN & TUROCY, LLP
AMIN & TURO 24 TH Floor, Nat 1900 E. 9 th Stre Cleveland, Ohio	ional City Center Reg. No. 40,894 et

CERTIFICATE OF MAILING

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited on the below date with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450. Alexandria, VA 22313-1450.

Date: 10-8-04

Himanchu C Amir



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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ın	re	Datent	abb	ncano	11 01

Applicant:

Joshua T. Goodman, et al.

Serial No.:

10/809,163 March 25, 2004

Filed: For:

TRAINING FILTERS FOR IP ADDRESS & URL LEARNING

Art Unit:

2131

Examiner: Unknown

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications or (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120:

Serial No.:	10,454,168
Filing Date:	6/4/2003

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

- 2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):
 - (a) ___ Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
 - Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".
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(c)	the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.
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Date: 10-19-04

Cleveland, Ohio 44114

Uimanchu C Amin



IFW

MS305314.01/MSFTP596US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re	patent	application of	f
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Applicant:

Joshua T. Goodman, et al.

Serial No.:

10/809,163

Filed:

March 25, 2004

For:

TRAINING FILTERS FOR IP ADDRESS AND URL LEARNING

Art Unit:

2131

Examiner:

Unknown

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 Sir.

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications or (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120; or (c) U.S. Patent(s) and published applications(s) since the subject application was filed after June 30, 2003.

Serial No.:	10/454,168	
Filing Date:	6/4/03	

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

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Respectfully Submitted,	5.	The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-1063.
• •		Respectfully Submitted,

Hmanshu S. Amin Reg. No. 40,894

24TH Floor, National City Center 1900 East 9th Street Cleveland, Ohio 44114 (216) 696-8730

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited on the below date with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Date: 1-18-05

Himanshu S. Amin

_ 2 _

ET NO.: MS305314.01/MSFTP596US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

atent application of

Applicant:

Joshua T. Goodman, et al.

Serial No.:

10/809,163

Filed:

March 25, 2004

For:

TRAINING FILTERS FOR IP ADDRESS AND URL LEARNING

Art Unit: Examiner: 2131

Unknown

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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24 TH Floor, National City Center 1900 East 9 th Street Cleveland, Ohio 44114 (216) 696-8730

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Date: 3-28-05

RECEIVED CENTRAL FAX CENTER

OCT 2 4 2005

DOCKET NO.: MS305314.01/MSFTP596US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of Joshua T. Goodman Applicant: 10/809,163 Serial No.: March 25, 2004 Filed: TRAINING FILTERS FOR IP ADDRESS AND URL LEARNING For: Art Unit: Unknown Examiner: SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Sir: Pursuant to 37 C.F.R. §1.97 and §1.98, and in compliance with 37 C.F.R. §1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications; (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. §120; and/or (c) U.S. Patent(s) and published applications(s). 10/454,168 Scrial No.: June 4, 2003 Filing Date: Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s): Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report. Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents". Pursuant to 37 C.F.R. §1.97(b) this Statement is being filed (one must be checked): 3. (a) Within 3 months of the filing date or date of entry into the National Stage. Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. §1.17(p) to Deposit Account No. 50-1063 for consideration of this Statement. (c) Before the mailing date of an Office Action after the filing of a request for continued examination under 1.114.

PAGE 1/4 * RCVD AT 10/24/2005 4:26:22 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-6/26 * DNIS:2738300 * CSID:216 696 8731 * DURATION (mm-ss):01-44

٠.	(d) After the period set forth in 37 C.F.R. §1.97(b) but before the mailing date of either a fine notice of allowance.						
		(I) 🗆	The required certification is given below, or				
		(2)	Regarding the fee set forth in 37 C.F.R. §1.17(p) for consideration of this Statement :				
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•			(iii) Enclosed is a credit card payment form covering the fee.				
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5.	The Co		ner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account				
			Respectfully Submitted,				
		•	By Defend Sacred - Jeffrey R. Sadlowski Reg. No. 47,914				
24 TH FI 1900 B Clevel:	& Turocy loor, Nati last 9 th St and, Ohio 196-8730	ional City reet > 44114	CERTIFICATE OF FACSIMILE				
	being	faxed to	that this correspondence (along with any paper referenced as being attached or enclosed) is 571-273-8300 on the date shown below to Commissioner for Patents, P.O. Box 1450, rginia 22313-1450.				
		- 24	-2005 grant Souli				
	Date	•	Jeffrey R. Sadlowski				

PAGE 2/4 * RCVD AT 10/24/2005 4:26:22 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-6/26 * DNIS:2738300 * CSID:216 695 8731 * DURATION (mm-ss):01-44

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		(2) Charge the fee set forth in 37 C.F.R. §1.17(i)(1) to Deposit Account No. 50-1063; or				
		(3) Enclosed is a credit card payment form covering the fee.				
l.	Certific	ation (if applicable)				
	(a) 🗌	The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.				
	(в) 🗌	The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than 3 months prior to the filing of this Statement.				
5.		Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account 0-1063.				
		Respectfully Submitted,				
		By Jeffrey R. Sadlowski Reg. No. 47,914				
24 TH F1 1900 E Clevela	t Turocy, oor, Nationst 9 th Str ast 9 th Str ad, Ohlo 96-8730	LLP onal City Center eet				
•	being :	CERTIFICATE OF FACSIMILE y certify that this correspondence (along with any paper referenced as being attached or enclosed) is faxed to 571-273-8300 on the date shown below to Commissioner for Patents, P.O. Box 1450, dria, Virginia 22313-1450.				

PAGE 2/9 * RCVD AT 1/20/2006 12:18:46 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-6/27 * DNIS:2738300 * CSID:216 696 8731 * DURATION (mm-ss):02-26

JAN 2 0 2006

DOCKET NO.: MS305314.01/MSFTP596US

1.114.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

	LITTLE UNITED STATES TATE OF THE
In re patent app	lication of
Applicant: Serial No.: Filed:	Joshua Goodman, et al. 10/809,163 03-25-2004
For:	TRADING FILTERS FOR ADDRESS AND URL LEARNING
Art Unit: Examiner:	2131 Unknown
	SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
Commissioner i P.O. Box 1450 Alexandria, VA	
Sir:	
the patents, publ except for: (a) p	at to 37 C.F.R. §1.97 and §1.98, and in compliance with 37 C.F.R. §1.56, the Office's attention is directed to ications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed ending applications; (b) those previously cited or submitted to the Office in the following application(s) upo cation relies for an earlier filing date under 35 U.S.C. §120; and/or (c) U.S. Patent(s) and published
Serial I Filing I	
Applicant(s) bel	ocument, publication or other information for which a date is not given on the attached PTO-1449, ieve(s) the same may qualify as "prior" art to this application and should be treated accordingly, although erve(s) the right to contest the prior art status of any document, publication or information, should issue arise
	ing each listed document that is not in the English language, an English-language translation accompanies indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth is coment(s):
(a) 🗆	Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
, (6) 🗆	Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".
3. Pursuai	nt to 37 C.F.R. §1.97(b) this Statement is being filed (one must be checked):
(a)	Within 3 months of the filing date or date of entry into the National Stage.
(b) ⊠	Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. §1.17(p) to Deposit Account No. 50-1063 for consideration of this Statement.

1

(c) Before the mailing date of an Office Action after the filing of a request for continued examination under

PAGE 1/9 * RCVD AT 1/20/2006 12:18:46 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-6/27 * Dil[S:2738300 * CSID:216 696 8731 * DURATION (mm-ss):02-26

FEB 2 7 2006

DOCKET NO.: MS305314.01/MSFTP596US

	IN THE UNITED STATES PATENT AND TRADEMARK OFFICE						
In re patent app	lication of						
Applicant: Serial No.: Filed: For: Art Unit: Examiner:	Joshua Goodman, et al. 10/809,163 03-25-2004 TRADING FILTERS FOR ADDRESS AND URL LEARNING 2131 Unknown						
	SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT						
Commissioner P.O. Box 1450 Alexandria, V.	·						
Sir:							
the patents, put	nt to 37 C.F.R. §1.97 and §1.98, and in compliance with 37 C.F.R. §1.56, the Office's attention is directed to lications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed pending applications; (b) those previously cited or submitted to the Office in the following application(s) upon lication relies for an earlier filing date under 35 U.S.C. §120; and/or (c) U.S. Patent(s) and published						
	Serial No.: 10/454.168 Filing Date: 06-04-2003						
Applicant(e) he	document, publication or other information for which a date is not given on the attached PTO-1449, elieve(s) the same may qualify as "prior" art to this application and should be treated accordingly, although serve(s) the right to contest the prior art status of any document, publication or information, should issue arise.						
2. Regar this Statement the following of	ding each listed document that is not in the English language, an English-language translation accompanies as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth it locument(s):						
(a) [Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.						
(ь) 🗆	Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".						
3. Pursu	ant to 37 C.F.R. §1.97(b) this Statement is being filed (one must be checked):						
(a) [Within 3 months of the filing date or date of entry into the National Stage.						
(ъ) 🛭	Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. §1.17(p) to Deposit Account No. 50-1063 for consideration of this Statement.						
(¢) [Before the mailing date of an Office Action after the filing of a request for continued examination under 1.114.						

PAGE 1/3 * RCVD AT 2/27/2006 3:11:06 PM [Eastern Standard Time] * SYR:USPTO-EFXRF-5/13 * DNIS:2738300 * CSID:216 696 8731 * DURATION (mm-ss):01-04

	(d) [After the period set forth in 37 C.F.R. §1.97(b) but before the mailing date of either a final action or a notice of allowance.				
		(1) 🗆	The required certification is given below, or			
		(2) 🔲	Regarding the fee set forth in 37 C.F.R. §1.17(p) for consideration of this Statement:			
			(i) Enclosed is a check covering the fee;			
			(ii) Charge the fee set forth in 37 C.F.R. §1.17(p) to Deposit Account No. 50-1063; or			
			(iii) Bnclosed is a credit card payment form covering the fee.			
	(e) 🗌	Petition	e mailing date of either a final action or a notice of allowance, but before payment of the issue fee. hereby is made for consideration of this Statement and the required certification is indicated belowing the fee set forth in 37 C.F.R. §1.17(i)(1) for consideration of this Statement:			
		(1)	Enclosed is a check covering the fee;			
		(2)	Charge the fea set forth in 37 C.F.R. §1.17(i)(1) to Deposit Account No. 50-1063; or			
	•	(3) 🗆	Enclosed is a credit card payment form covering the fee.			
	Certific	ation (if a	applicable)			
	(a) 🗆	The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.				
	(ъ) 🗆	The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than 3 months prior to the filing of this Statement.				
5.	The Co No. 50-		ner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account			
			Respectfully Submitted,			
			Himanshu S Amin Reg. No. 40,894			
24 TH F1 1900 E Clevels	L Turocy loor, Nati ast 9 th Su and, Ohio 196-8730	onal City reet 44114	Center			
			CERTIFICATE OF FACSIMILE			
	being	faxed to	that this correspondence (along with any paper referenced as being attached or enclosed) is 571-273-8300 on the date shown below to Commissioner for Patents, P.O. Box 1450, ginia 22313-1450.			

PAGE 2/3 * RCVD AT 2/27/2006 3:11:06 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-5/13 * DNIS:2738300 * CSID:216 696 8731 * DURATION (mm-ss):01-04

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MAR 2 1 2006

DOCKET NO.: MS305314.01/MSFTP596US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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ln re patent app	lication of
Applicant: Serial No.: Filed: For: An Unit: Examiner:	Joshua Goodman, et al. 10/809,163 03-25-2004 TRADING FILTERS FOR ADDRESS AND URL LEARNING 2131 Unknown
	SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
Commissioner P.O. Box 1450 Alexandria, V	
Sir:	
the patents, pul	ant to 37 C.F.R. §1.97 and §1.98, and in compliance with 37 C.F.R. §1.56, the Office's attention is directed to blications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed pending applications; (b) those previously cited or submitted to the Office in the following application(s) upon lication relies for an earlier filing date under 35 U.S.C. §120; and/or (c) U.S. Patent(s) and published
Serial Filing	No.: 10/454.168
	document, publication or other information for which a date is not given on the attached PTO-1449, elievc(s) the same may qualify as "prior" art to this application and should be treated accordingly, although serve(s) the right to contest the prior art status of any document, publication or information, should issue arise.
2. Regations this Statement the following	rding each listed document that is not in the English language, an English-language translation accompanies as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in document(s):
(a) [Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
(ь) [Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".
3. Purs	uant to 37 C.F.R. §1.97(b) this Statement is being filed (one must be checked):
(a)	
(b) [Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. §1.17(p) to Deposit Account No. 50-1063 for consideration of this Statement.
(c) [Before the mailing date of an Office Action after the filing of a request for continued examination under 1.114.

Ø 002/006

MAR 2 1 2006

	(d) 🔲	After the period set forth in 37 C.F.R. §1.97(b) but before the mailing date of either a final action or a notice of allowance.							
		(1)	The requ	rired certification	n is given below	, <u>or</u>			-
		(2)	Regardii	ng the fee set for	rth in 37 C.F.R.	<u>§</u> 1.17(p	o) for consideration of	this Statement:	
			(i) 🗖	Enclosed is a cl	neck covering th	e fee:	•		
			(ii) 🗀	Charge the fee	set forth in 37 C	lf.R. §	1.17(p) to Deposit Ac	count No. 50-10	63; or
			(iii) 🔲	Enclosed is a c	redit card paym	ent form	n covering the fee.		
	(e) 🗌	Petition	hereby is	made for consi	deration of this	Statem	of allowance, but before ent and the required consideration of this State	ertification is ind	he issue fee. licated below.
	•	(1)	Enclose	d is a check cov	ering the fee;				
		(2)	Charge	the fee set forth	in 37 C.F.R. §1	.17(p) 1	to Deposit Account No). 50-1063; or	
		(3)	Enclose	d is a credit can	i payment form	coverii	ng the fee.		•
4.	Certific	ation (if a	applicabl	e)					
	(a) 🗌	The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.							
	(b) <u> </u>	commu knowie	nication dge after	from a foreign t	atent office in a able inquiry, wa	counte s know	nation contained in this repart foreign applicati n to any individual des :	ion or, to the und	lersigned's
5.		ommission 1-1063.	ner is her	reby authorized t	to charge any ac	lditions	l fees or credit any ov	erpayment to De	posit Accoun
		•			Respectfull	y Şubn	átt eđ,		
					By Himans Reg. No			_	
24 TH F 1900 I Cleve	& Turoc; loor, Na East 9 th S land, Ohi 696-8730	tional City treet o 44114	y Center						
. ,	I here being	by certify	571-27	s correspondenc	RTIFICATE O e (along with a date shown be	ay pape	GIMPLE or referenced as being Commissioner for P	attached or enclatents, P.O. Bo	osed) is x 1450,
	Date	Mai	rch 21, 2	006			Himanshu S. Amin	11	=
					7				

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PAGE 2/6 * RCVD AT 3/21/2006 3:58:34 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-6/31 * DNIS:2738300 * CSID:216 696 8731 * DURATION (mm-ss):01-52

(Not for submission under 37 CFR 1.99)

Application Number		10809163		
Filing Date		2004-03-25		
First Named Inventor	Joshua Goodman			
Art Unit		2131		
Examiner Name				
Attorney Docket Number		MS305314.01/MSFTP596US		

	CERTIFICATION STATEMENT						
Plea	Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):						
Ø	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).						
OR	OR						
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).						
□·	See attached certification statement.						
	Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.						
\mathbf{Z}							
SIGNATURE A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.							
Sig	nature	/Himanshu S. Amin/	Date (YYYY-MM-DD)	2006-05-11			
Name/Print Himanshu S. Amin Registration Number 40894			40894				

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO:** Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a
 court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement
 negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
 - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

(Not for submission under 37 CFR 1.99)

Application Number		10809163		
Filing Date		2004-03-25		
First Named Inventor	Josh	ua Goodman		
Art Unit		2131		
Examiner Name				
Attorney Docket Numb	er	MS305314.01/MSFTP596US		

	•						
Plea	ise see 37 CFR 1	.97 and 1.98 to make the ap	ppropriate selecti	on(s):			
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).						
OR							
7	foreign patent o after making rea any individual d	ffice in a counterpart foreigi sonable inquiry, no item of i	n application, ar information cont	nd, to the knowledge of the ained in the information di	cited in a communication from a ne person signing the certification sclosure statement was known to ling of the information disclosure		
	See attached ce	rtification statement.		•			
	Fee set forth in 3	37 CFR 1.17 (p) has been su	ubmitted herewit	h.	• .		
$\overline{\mathbf{V}}$	None						
	ignature of the ap		SIGNA required in accor		18. Please see CFR 1.4(d) for the		
Sig	nature	/Himanshu S. Amin/		Date (YYYY-MM-DD)	2006-07-31		
Nar	ne/Print	Himanshu S. Amin		Registration Number	40894		
This	s collection of info	rmation is required by 37 CF	FR 1.97 and 1.98	3. The information is requion. Confidentiality is gove	red to obtain or retain a benefit by the rned by 35 U.S.C. 122 and 37 CFR		

CERTIFICATION STATEMENT

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, prepanng and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a
 court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement
 negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
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- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, dunng an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
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- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
 - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

(Not for submission under 37 CFR 1.99)

Application Number		10809163		
Filing Date		2004-03-25		
First Named Inventor Joshu		ла Т. Goodman		
Art Unit		2131		
Examiner Name	Unknown			
Attorney Docket Number		MS305314.01/MSFTP596US		

	CERTIFICATION STATEMENT						
Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):							
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).						
OR	ł						
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).						
	See attached ce	rtification statement.					
	Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.						
×	 ▼ None						
SIGNATURE A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.							
Sigi	nature	/Himanshu S. Amin/		Date (YYYY-MM-DD)	2006-11-29		
Nar	Name/Print Himanshu S. Amin Registration Number 40894				40894		

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO**: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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X	None						
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Sigr	nature	/Himanshu S. Amin/		Date (YYYY-MM-DD)	2006-11-07		
Nar	ne/Print	Himanshu S. Amin		Registration Number	40894		
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Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
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